

12/21/01

JC863 U.S. PTO

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Approved for use through 10/31/2002 OMB 0651-C

U S Patent and Trademark Office, U S DEPARTMENT OF COMMERCE

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

NEXSI-01226US0

First Inventor

Zaidi

Title

Cross-Bar Switch With Sink Port Accepting
Multiple Packets

Express Mail Label No.

EL 795 247 880 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **43**]
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **10**]
5. Oath or Declaration [Total Pages **5**]
- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
- i. ☒ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b)
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement [Power of Attorney]
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 [Copies of IDS Citations]
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
17. ☐ Other

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)of prior application No. **09 / 900,514**

Prior application information

Examiner

Unknown

Group Art Unit

2661

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or



Correspondence address below

Name

William J. Harmon, III

Address

Vierra Magen Marcus Harmon & DeNiro, LLP

685 Market Street, Suite 540

City

San Francisco

State

California

Zip Code

94105-4206

Country

U.S.A.

Telephone

(415) 369-9660

Fax

(415) 369-9665

Name (Print/Type)

William J. Harmon, III

Registration No. (Attorney/Agent)

40,635

Signature

Date

December 21, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

110035701.4441 1.12.2001

PTO/SB/17 (10-01)

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U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision

Complete if Known

Application Number	
Filing Date	December 21, 2001
First Named Inventor	Zaidi
Examiner Name	
Group Art Unit	
Attorney Docket No.	NEXSI-01226US0

TOTAL AMOUNT OF PAYMENT (\$) 547.00

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 501826

Deposit Account Name: Vierra Magen Marcus Harmon & DeNiro LLP

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE						
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description		Fee Paid		
101 740	201 370	Utility filing fee		370.00		
106 330	206 165	Design filing fee				
107 510	207 255	Plant filing fee				
108 740	208 370	Reissue filing fee				
114 160	214 80	Provisional filing fee				

SUBTOTAL (1) (\$) 370.00

2. EXTRA CLAIM FEES

Total Claims: 35

Independent Claims: 4

Multiple Dependent Claims: 0

Extra Claims Fee from below: 15

Fee Paid: 135.00

Fee Paid: 42.00

Fee Paid: 0.00

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	
103 18	203 9	Claims in excess of 20	
102 84	202 42	Independent claims in excess of 3	
104 280	204 140	Multiple dependent claim, if not paid	
109 84	209 42	** Reissue independent claims over original patent	
110 18	210 9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$) 177.00

*or number previously paid, if greater, For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES						
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description		Fee Paid		
105 130	205 65	Surcharge - late filing fee or oath				
127 50	227 25	Surcharge - late provisional filing fee or cover sheet				
139 130	139 130	Non-English specification				
147 2,520	147 2,520	For filing a request for ex parte reexamination				
112 920*	112 920*	Requesting publication of SIR prior to Examiner action				
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action				
115 110	215 55	Extension for reply within first month				
116 400	216 200	Extension for reply within second month				
117 920	217 460	Extension for reply within third month				
118 1,440	218 720	Extension for reply within fourth month				
128 1,960	228 980	Extension for reply within fifth month				
119 320	219 160	Notice of Appeal				
120 320	220 160	Filing a brief in support of an appeal				
121 280	221 140	Request for oral hearing				
138 1,510	138 1,510	Petition to institute a public use proceeding				
140 110	240 55	Petition to revive - unavoidable				
141 1,280	241 640	Petition to revive - unintentional				
142 1,280	242 640	Utility issue fee (or reissue)				
143 460	243 230	Design issue fee				
144 620	244 310	Plant issue fee				
122 130	122 130	Petitions to the Commissioner				
123 50	123 50	Processing fee under 37 CFR 1.17(q)				
126 180	126 180	Submission of Information Disclosure Stmt				
581 40	581 40	Recording each patent assignment per property (times number of properties)				
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))				
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))				
179 740	279 370	Request for Continued Examination (RCE)				
169 900	169 900	Request for expedited examination of a design application				

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0.00

SUBMITTED BY

Name (Print/Type)	William J. Harmon, III	Registration No (Attorney/Agent)	40,635	Telephone	415.369.9660
Signature	Will J. Harmon	Date	December 21, 2001		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application)	<u>PATENT APPLICATION</u>
Inventors: Zaidi, et al.)	
Application No.: Unknown)	
Filed Date: December 21, 2001)	
Title: CROSS-BAR SWITCH WITH)	Customer No.: 28554
SINK PORT ACCEPTING)	
MULTIPLE PACKETS)	

STATEMENT DELETING INVENTOR

Box Patent Application
 Assistant Commissioner for Patents
 Washington, D.C. 20231

Sir:

Please delete the following as an inventor in the above-identified patent application:

Fred Gruner

The invention of the deleted inventor, which was claimed in the originally filed priority application with U.S. Application Serial No. 09/900,514, is no longer claimed in the claims of the present application in view of the Preliminary Amendment submitted herewith.

Respectfully submitted,

Date: December 21, 2001

By: William J. Harmon, III
 William J. Harmon, III, Reg. No. 40,635

VIERRA MAGEN MARCUS HARMON & DENIRO LLP
 685 Market Street, Suite 540
 San Francisco, California 941105
 Telephone: (415) 369-9660
 Facsimile: (415) 369-9665